



FINANCIAL SERVICES

**This Document constitutes the Statutory Disclosure of
Celagenix Financial Services (Pty) Ltd.**

REGULATORY STATUS

Celagenix® Financial Services is authorised and regulated by the Financial Sector Conduct Authority. Our Financial Services Provider license number is 51436.

Our permitted business is advising on, arranging, and making arrangements in respect of financial products, with the view to facilitate investment and non-investment insurance contracts and manage investments on behalf of our clients.

Our status can be verified by visiting the FSCA's website: https://www.fsc.co.za/Fais/Search_FSP.htm or by contacting the FSCA on 0800 20 37 22.

PARTICULARS OF THE BUSINESS

Head Office: 4th Floor West Wing, Nelson Mandela Square, Sandton City, JHB, ZA
Postal address: P.O. Box 32731, Constantia Park, 0010
Contact details: Tel: +27 12 755 5528 | Cell: +27 83 762 8999 | Email: financial@celagenix.com
Legal Status: Private Company
Holder of Professional Indemnity Insurance? Yes

THE FSP IS LICENSED FOR THE FOLLOWING CATEGORY I PRODUCT CATEGORIES:

- Long-term Sub-Category A
- Long-term Sub-Category B1
- Long-term Sub-Category B1-A
- Long-term Sub-Category B2
- Long-term Sub-Category B2A, C
- Long-term Sub-Category C
- Retail Pension Benefits
- Pension Fund Benefits
- Shares (Intermediary Services)
- Collective Investment Schemes
- Short-term and Long-term Deposits
- Structured Deposits
- Hedge Funds

THE FSP HAS CONTRACTS WITH THE FOLLOWING PRODUCT PROVIDERS:

- BrightRock
- Capital Legacy
- Discovery Life
- Discovery Invest
- Ninety One
- Sanlam
- Sygnia

REMUNERATION

The FSP—

- earned more than 30% of total remuneration for the previous year from the abovementioned providers;
- does not own more than 10% of issued shares directly/indirectly in any Insurer/Product Provider;
- is not an associated company of any Insurer/Product Provider.

REPRESENTATIVE REMUNERATION

Representatives of the FSP earn commission and/or fees, based on their arrangements and contractual agreement with clients.

CONFLICT OF INTEREST

This FSP has implemented a Conflict of Interest Management Policy. The FSP might on occasion receive gifts/prizes from Service Providers, the details of which are contained in the Gifts Register and is available for your perusal.

TREATING CUSTOMERS FAIRLY

This FSP has embedded the 'Treating Customers Fairly' requirements into the culture of the organisation. It is supported by various policies and procedures.

COMPLAINTS

Complaints may be submitted in writing to our internal compliance department: complaints@celagenix.com, in accordance with our FAIS Complaints Procedure.

REGULATORY CONTACTS

- **Long-term Insurance Ombudsman:** Private Bag X45, Claremont, 7735 | Tel: 021 657 5000 | www.ombud.co.za
- **FAIS Ombudsman:** P.O. Box 74571, Lynnwood Ridge, 0040 | Tel: 012 470 9080 | Fax: 012 348 3447
www.faisombud.co.za
- **FSCA:** P.O. Box 35655, Menlopark, 0102 | Tel: 0800 20 20 87 | Fax: 0800 11 04 43 | www.fsc.co.za

For any queries in relation to the Statutory Disclosure of Celagenix® Financial Services:

Contact Person: Martin Louw | Director and Key Individual
Telephone: + 27 12 755 5528
Email: financial@celagenix.com
Post: P.O. Box 32731, Constantia Park, 0010

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